

**Company or Trust in which investment is held – THIS MUST BE COMPLETED**  
 (The full name of the Share Company or Trust in which the securities being transferred are held)

**Full Name(s) of Registered Holding**  
 (Enter the given and last names of all securityholder(s) shown on the securityholder documents)

  


**Account Designation**

**Registered Address**  
 (The actual address that is shown on the securityholder documents)

  


**Securityholder Reference Number (SRN)**

**A SECTION 1071B STATEMENT**

Please complete this form in BLACK INK using capital letters. Photocopies will not be accepted.  
 Where Probate/Letters of Administration has/have been granted in an Australian State or Territory other than where the securities are registered, a statement in writing as prescribed by Section 1071B of the Corporations Act 2001 must be lodged, together with a transfer/transmission within three months of the statement being made.

I/We do solemnly and sincerely declare I am/we are the personal representative(s) namely the executor(s) of the will/administrator(s), to whom Probate/Letters of Administration has/have been granted for the above deceased estate and which grant has not been revoked.

1. Full name(s) of Executor(s) or Administrator(s)

  
  


2. To the best of my/our knowledge, information and belief no grant of representation of the estate has been applied for or made in

 (Australian State or Territory where the securities are registered)

and no application for such a grant will be made.

3. Contact Name Telephone Number (Business Hours) Telephone Number (After Hours)

  

**B SIGNATURE(S) OF EXECUTOR(S)/ADMINISTRATOR(S) – THIS MUST BE COMPLETED**

Executor/Administrator (delete one)	Executor/Administrator (delete one)	Executor/Administrator (delete one)
<input type="text"/>	<input type="text"/>	<input type="text"/>
Witness	Witness	Witness
<input type="text"/>	<input type="text"/>	<input type="text"/>

The witness(es) certifies that the person(s) who has/have signed this statement is/are known to them and has/have signed in the presence of the witness with their normal signature(s).

Date

**Executors/Administrators:** When the holding is in the name of an Estate, all Executors/Administrators are required to sign.  
**NOTE:** **Australian** The Corporation Act 2001 imposes severe penalties for making a false statement (\$10,000 or 2 years imprisonment or both) or failing to ensure a statement is not false or misleading (\$5,000 or 1 year imprisonment or both).  
**Overseas** Please complete this statutory declaration in accordance with the laws of the country in which it is declared and ensure it is legally witnessed.

**HOW TO COMPLETE THIS FORM**

**A Section 1071B Statement**  
 Enter the following in the spaces provided. Complete the full name(s) of all Executor(s)/Administrator(s) and one address.  
 1. The full name(s) of all Executor(s) or Administrator(s).  
 2. The Australian State or Territory where the securities are registered.  
 3. A contact name and telephone number of a person in the event that the registry has a query regarding this form.

**Important notice: If the holding is a broker sponsored holding in CHESS, do not send this completed form to Link Market Services Limited. You must contact the sponsoring broker to lodge a Section 1071B Statement.**  
**B Signature** – All Executor(s)/Administrator(s) must sign in the presence of a witness who is known to them.

## A TRANSMISSION APPLICATION

Please complete this form in BLACK INK using capital letters. Photocopies will not be accepted.

1. Full name of Share Company, Corporation or Trust in which the securities being transmitted are held.

2. Securityholder Reference Number (SRN)

3. Type of Security (eg fully paid, partly paid, stapled security, etc)

4. Name of Deceased (Given Name(s))

Last Name

I/We claiming to be legal personal representative(s) of the abovenamed deceased in respect of such security described above do hereby apply to be registered as the holder(s) of such security as described above in the abovenamed company.

I/We agree to take and hold the said holding of the type of security as described above subject to the several conditions on which the abovenamed deceased held the same. I/We give notice that my/our name(s) and address is/are notified below and request the same be entered into the register of the abovenamed company.

5. Title and Full Name(s) of Executor(s) or Administrator(s)


6. Address for Notices, Dividends etc.

PO Box/RMB/Locked Bag/Care of (c/-)/Property name/Building name (if applicable)

Unit Number/Level

Street Number

Street Name

Suburb/Town

State

Post Code

## B SIGNATURE(S) OF EXECUTOR(S)/ADMINISTRATOR(S) – THIS MUST BE COMPLETED

Executor/Administrator (delete one)

Executor/Administrator (delete one)

Executor/Administrator (delete one)

### Instructions

- This form is to be used when removing the shares from the name of the deceased to the Executor(s)/ Administrator(s) of the estate.
- Where the Executor(s)/Administrator(s) of the estate are also the sole beneficiaries a standard transfer form must be completed.
- There is no requirement to formally register the securities in the name of the Executor(s)/Administrator(s) prior to selling the securities.

Date

## HOW TO COMPLETE THIS FORM

### A Transmission application

Enter the following in the spaces provided.

Complete the full name(s) of all Executor(s)/Administrator(s) and one address.

- |   |  |
|---|--|
| <ol style="list-style-type: none"> <li>The full name of the share company in which the securities are held.</li> <li>The Shareholder Reference Number (SRN, starts with "I").</li> <li>A brief description of the type of security eg. fully paid; stapled security etc.</li> <li>The name of the deceased holder.</li> </ol> | <ol style="list-style-type: none"> <li>The title(s) and full name(s) of the Executor(s) or Administrator(s).</li> <li>The address details to be entered on the register. Please note that only one address can be recorded. This should be the address for the delivery of all future correspondence.</li> </ol> |
|---|--|

**Important notice: If the holding is a broker sponsored holding in CHESS, do not send this completed form to Link Market Services Limited. You must contact the sponsoring broker to lodge a Transmission Application.**

### B Signature – All Executor(s)/Administrator(s) must sign.

**Personal Information Collection Notification Statement:** Link Group advises that personal information it holds about you (including your name, address, date of birth and details of the financial assets) is collected by Link Group organisations to administer your investment. Personal information is held on the public register in accordance with Chapter 2C of the *Corporations Act 2001*. Some or all of your personal information may be disclosed to contracted third parties, or related Link Group companies in Australia and overseas. Your information may also be disclosed to Australian government agencies, law enforcement agencies and regulators, or as required under other Australian law, contract, and court or tribunal order. For further details about our personal information handling practices, including how you may access and correct your personal information and raise privacy concerns, visit our website at [www.linkmarketservices.com.au](http://www.linkmarketservices.com.au) for a copy of the Link Group condensed privacy statement, or contact us by phone on +61 1800 502 355 (free call within Australia) 9am–5pm (Sydney time) Monday to Friday (excluding public holidays) to request a copy of our complete privacy policy.